First Meeting Appointment Checklist

Please bring the items listed below to your first appointment.

By providing us with the following information, we will be able to better evaluate and provide for you a comprehensive financial plan.

ASSETS

- ☐ Checking, savings, & CD balances
- ☐ Investment Statement (mutual funds, stock, 529, Coverdell, EE/HH savings bonds)
- ☐ Retirement account statements (401k, 403b, SEP, Simple, IRA, Roth) and summary plan description off employer's website
- ☐ Social Security statement - can be obtained at www.ssa.gov
- ☐ Pension statement
- ☐ Inventory of business assets or fair market value of business enterprise with cost basis

LOAN Statements

- ☐ Mortgage, 1st, 2nd, HELOC
- ☐ Auto
- ☐ Student Loan
- ☐ Credit card, furniture loan, etc.
- ☐ Loans from family members

PROTECTION

- ☐ Life insurance recent statement- if through employer amount of coverage
- ☐ Disability policy
- ☐ Health Insurance information
- ☐ Long-term care policy
- ☐ Property and Casualty statement
- ☐ Legal documents -will, trust, power of attorney, health care directive

OTHER

- ☐ Previous year's tax return
- ☐ Last two consecutive pay stubs
- ☐ Enclosed client information form

Please reach out with any questions you may have.

110 Cheshire Lane, Suite 200 | Minnetonka, MN 55305 | 612.347.8600